



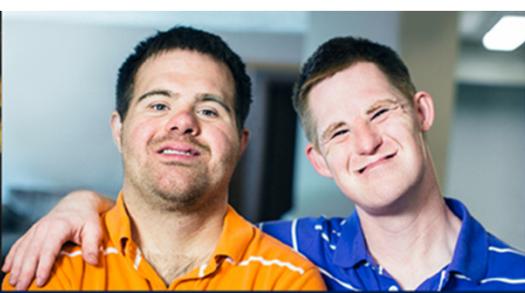
# Enable Savings Plan Alabama

Rachel Biar, Assistant State Treasurer, State of Nebraska

Deborah Goodkin, Managing Director, Savings Plans, First National Bank of Omaha

November 18, 2020

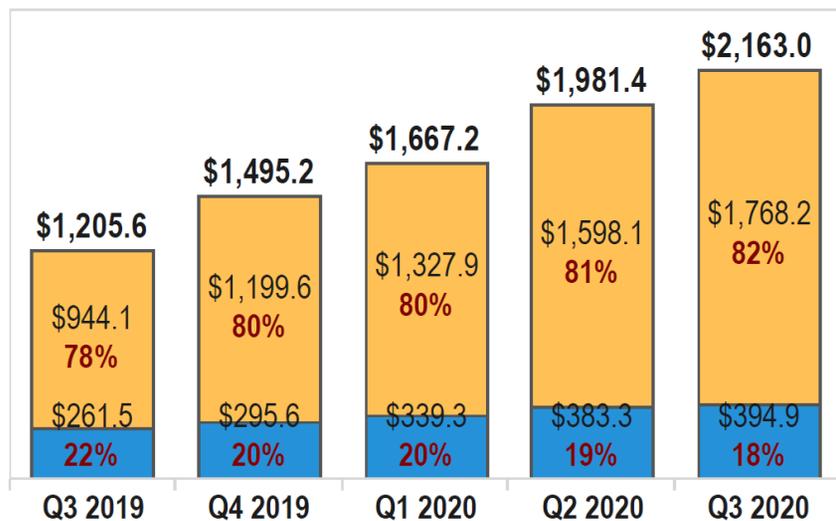
**ENable**<sup>SM</sup>  
SAVINGS PLAN  
ALABAMA



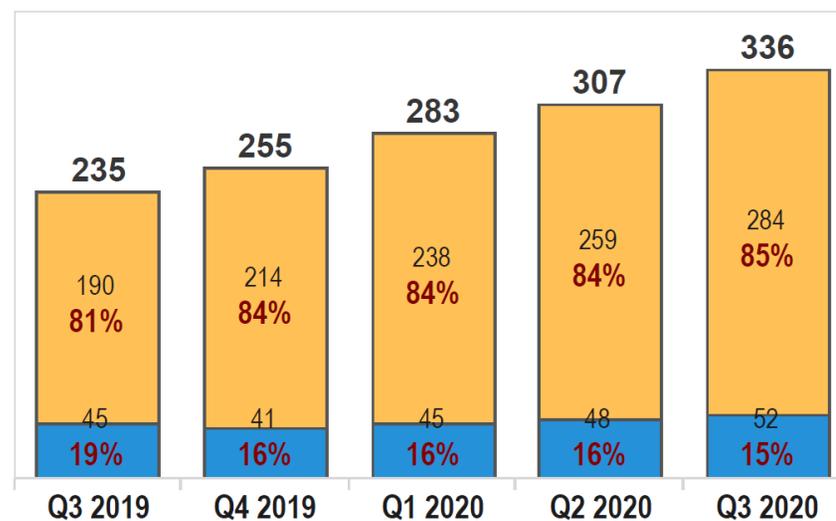
## Assets and Accounts

Total Assets	Active Accounts	Funded Accounts	Average Account Size	New Accounts QTD	New Accounts YTD	Closed Accounts QTD	Closed Accounts YTD
\$2,163,048	350	336	\$6,438	38	113	6	30

**Total Assets** (000's)

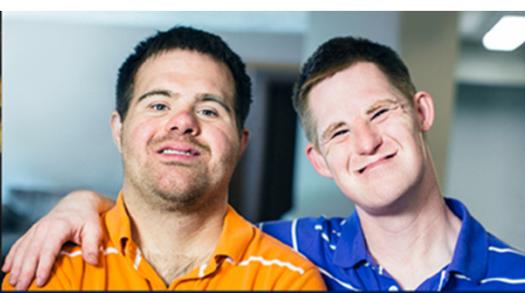


**Total Funded Accounts**



■ In State
 ■ Out of State

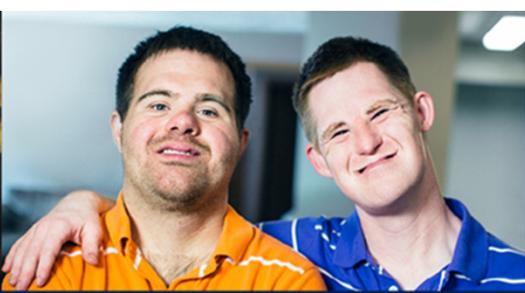
*Active Accounts* are all accounts with an "open" status; *Funded Accounts* are all accounts with an "open" status AND have assets.



## Account Owner Disability Type

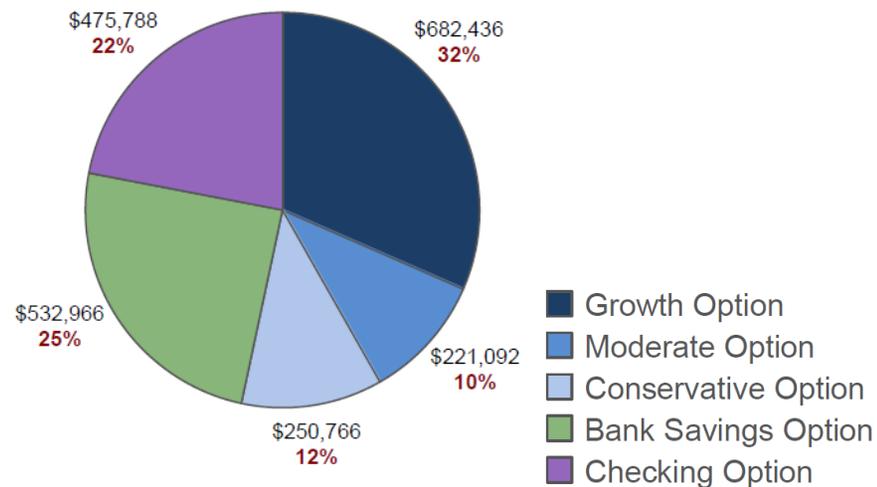
Account Owner Disability Type Code	In State				Out of State				Grand Total			
	Active Accounts	% of Total	Total Assets	% of Total Assets	Active Accounts	% of Total	Total Assets	% of Total Assets	Active Accounts	% of Total	Total Assets	% of Total Assets
Intellectual disability	138	46.6%	\$523,922	29.6%	7	13.0%	\$82,193	20.8%	145	41.4%	\$606,116	28.0%
Developmental disorders (including autism)	73	24.7%	\$598,466	33.8%	22	40.7%	\$141,382	35.8%	95	27.1%	\$739,848	34.2%
Congenital anomalies (including Down syndrome)	33	11.1%	\$311,029	17.6%	9	16.7%	\$65,455	16.6%	42	12.0%	\$376,484	17.4%
Psychiatric disorders	16	5.4%	\$106,183	6.0%	7	13.0%	\$84,331	21.4%	23	6.6%	\$190,514	8.8%
Nervous disorders (including blindness and deafness)	12	4.1%	\$68,226	3.9%	6	11.1%	\$18,945	4.8%	18	5.1%	\$87,172	4.0%
Respiratory disorders	1	0.3%							1	0.3%		
Other	23	7.8%	\$160,326	9.1%	3	5.6%	\$2,588	0.7%	26	7.4%	\$162,914	7.5%
<b>Grand Total</b>	<b>296</b>	<b>100.0%</b>	<b>\$1,768,152</b>	<b>100.0%</b>	<b>54</b>	<b>100.0%</b>	<b>\$394,895</b>	<b>100.0%</b>	<b>350</b>	<b>100.0%</b>	<b>\$2,163,048</b>	<b>100.0%</b>

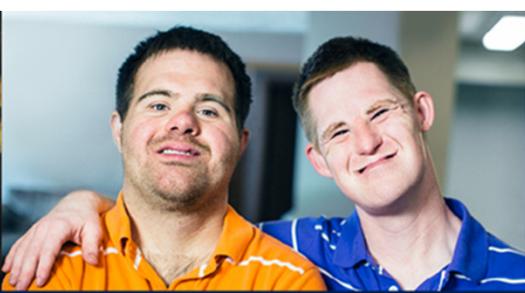
**Active Accounts** are all accounts with an "open" status; **Funded Accounts** are all accounts with an "open" status AND have assets.



## Investments

Investment	In-State		Out-of-State		Total	
	Accounts*	Total Assets	Accounts*	Total Assets	Accounts*	Assets
Growth Option	76	\$631,497.81	18	\$50,938.04	94	\$682,435.85
Moderate Option	41	\$170,460.26	19	\$50,631.84	60	\$221,092.10
Conservative Option	34	\$201,023.02	20	\$49,743.31	54	\$250,766.33
Bank Savings Option	140	\$474,239.84	17	\$58,725.74	157	\$532,965.58
Checking Option	96	\$290,931.35	38	\$184,856.45	134	\$475,787.80
<b>TOTAL ENABLE ALABAMA SAVINGS PLAN</b>	<b>387</b>	<b>\$1,768,152.28</b>	<b>112</b>	<b>\$394,895.38</b>	<b>499</b>	<b>\$2,163,047.66</b>





## Investment Returns

Investment Option Name <i>Benchmark*</i>	Total Returns		Average Annualized Total Returns				
	Quarter Ending 9/30/2020	Year To Date	1 year	3 year	5 year	Since Inception**	Inception Date
<b>Growth Option</b> <i>Enable Benchmark Growth Option</i>	<b>6.66%</b> 6.88%	<b>3.76%</b> 3.27%	<b>11.04%</b> 10.72%	<b>7.76%</b> 7.94%		<b>9.82%</b>	<b>6/30/2016</b>
<b>Moderate Option</b> <i>Enable Benchmark Moderate Option</i>	<b>5.11%</b> 5.35%	<b>4.64%</b> 3.86%	<b>10.16%</b> 9.55%	<b>7.06%</b> 7.08%		<b>8.22%</b>	<b>6/30/2016</b>
<b>Conservative Option</b> <i>Enable Benchmark Conservative Option</i>	<b>2.82%</b> 3.11%	<b>4.38%</b> 3.88%	<b>7.36%</b> 7.05%	<b>5.10%</b> 5.22%		<b>5.19%</b>	<b>6/30/2016</b>
<b>Bank Savings Option</b> <i>FTSE 3-Month T-Bill</i>	<b>0.10%</b> 0.03%	<b>0.39%</b> 0.56%	<b>0.78%</b> 1.02%	<b>0.82%</b> 1.65%		<b>0.72%</b>	<b>6/30/2016</b>
<b>Checking Option</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0.00%</b>		<b>0.00%</b>	<b>1/26/2017</b>

NA

\* Each benchmark is not managed. Therefore, its performance does not reflect management fees, expenses or the imposition of sales charges.

\*\* Since Inception Returns for less than one year are not annualized.

The performance data shown represents past performance. Past performance - especially short-term performance - is not a guarantee of future results. Performance information is current as of the most recent timeframe referenced above and is net of the weighted average operating expense ratio of the underlying fund, program management fee and the state administrative fee. Investment returns and principal value will fluctuate, so that investors' units, when sold, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data cited.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in the fund.

Enable Savings Plan Alabama ("Enable Alabama" or "the Plan") is sponsored by the State of Nebraska, offered by the State of Alabama, and administered by the Nebraska State Treasurer. The State of Alabama, through the ABLE Program Board, contracted with the Nebraska State Treasurer to participate in and create Enable Savings Plan Alabama which is part of The Nebraska Achieving a Better Life Experience Program Trust (the "Trust") that offers a series of investment portfolios. The Plan is intended to operate as a qualified ABLE program to be used only to save for qualified disability expenses, pursuant to the Achieving a Better Life Experience Act of 2014 and Section 529A of the U.S. Internal Revenue Code.

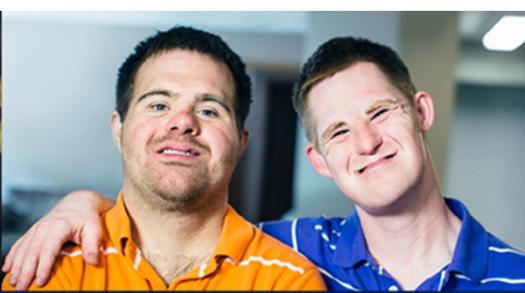
An investor should consider the Plan's investment objectives, risks, charges and expenses before investing. The Program Disclosure Statement at [www.EnableAL.com](http://www.EnableAL.com), which contains more information, should be read carefully before investing.

Investors should consider before investing whether their home state offers any state tax or other benefits that are only available for investments in such state's qualified ABLE program and should consult their tax advisor, attorney and/or other advisor regarding their specific legal, investment or tax situation.

This material is provided for general and educational purposes only, and is not intended to provide legal, tax or investment advice, or for use to avoid penalties that may be imposed under U.S. federal tax laws. This material is not an offer to sell or a solicitation of an offer to buy any securities. Any offer to sell units within the Plan may only be made by the Program Disclosure Statement relating to the Plan.

Participation in the Plan does not guarantee that contributions and the investment return on contributions, if any, will be adequate to cover future expenses, or that an account owner is eligible to participate in the Plan.

Except for the Bank Savings and Checking Investment Options, investments in Enable Alabama are not guaranteed or insured by the FDIC or any other government agency and are not deposits or other obligations of any depository institution. Investments are not guaranteed or insured by the State of Alabama, the State of Nebraska, the Alabama State Treasurer, the Nebraska State Treasurer, the Nebraska Investment Council or First National Bank of Omaha or its authorized agents or their affiliates, and are subject to investment risks, including loss of the principal amount invested. FDIC insurance is provided for the Bank Savings and Checking Investment Options up to the maximum amount set by federal law, currently \$250,000.



## Contributions and Redemptions

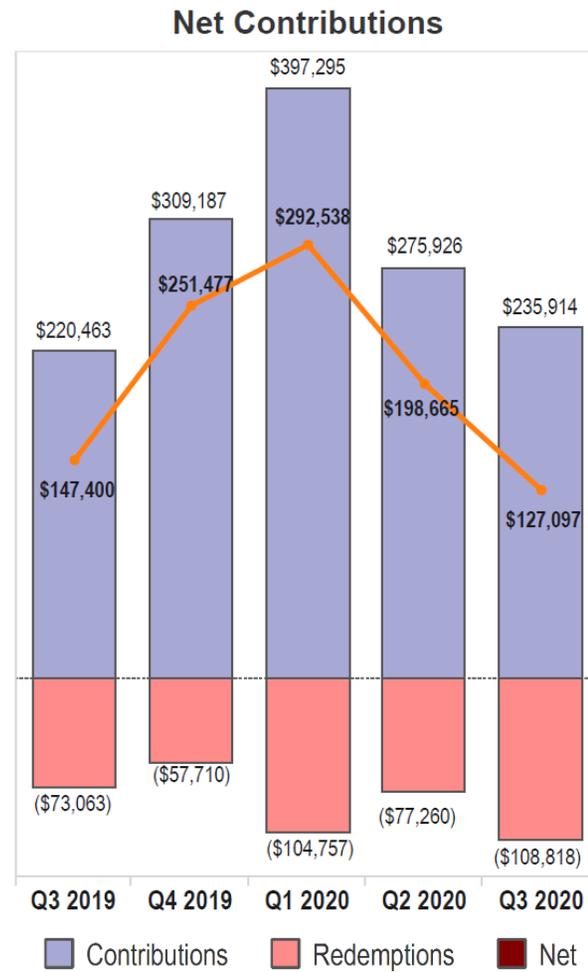
Contributions	
QTD	YTD
\$235,914	\$909,135

Redemptions	
QTD	YTD
(\$108,818)	(\$290,835)

Net Contributions	
QTD	YTD
\$127,097	\$618,300





## Outreach

### July

- Marketing efforts during COVID-19 are ongoing. Created a timely Back To School Facebook post reminding families that education and assistive technology are Qualified Disability Expenses.
- Conducted educational webinar on Enable Alabama and stimulus check reminders to the families of UCP Huntsville.
- Continued to reach out to advocates via phone calls and emails, with special mention of the Stimulus Checks FAQ's. Amber Lovelady, Resource Roundup, shared the information with her distribution list of over 200 subscribers and 400 teachers.

### August

- Hosted a webinar on Enable Alabama discussing basics and stimulus check reminders.
- Continued to reach out to advocates via phone calls and emails. Two strong advocates provided interesting blogs to our audience: John Heintz, Passage USA at the University of South Alabama and Dr. Doris Hill, Regional Autism Network at Auburn University.
- Conducted a Zoom presentation to families in the Anniston/Gadsden district for Children's Rehabilitation Services.

### September

- Assisted with multiple new accounts, VOA Southeast Inc. in Mobile, Alabama, with some unique enrollment issues.
- Connected with key influencers and offered educational webinar opportunities.
- Provided a recording complete with closed captioning to Kimberly Washington, Senior Vocational Counselor-Evaluator for Alabama Department of Rehab Services. Enable Alabama was one of the top five most requested speakers. This presentation included all parent participants in the State of Alabama, not just Montgomery.



## In Progress

- Working in concert with the State Treasurer's Office to reach out to key influencers in the Alabama School District for a wider reach relative to IEP programs.
- Doris Hill, Associate Research Professor at Auburn University and Director, Regional Autism Network, was awarded grant funding from the Alabama Council on Developmental Disabilities and, as a result, we plan to collaborate to provide education to the Hispanic Disability population.
- UCP Huntsville requested a webinar in the fall to provide education on Enable Alabama.

# Disclosures

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Investments are not FDIC insured\*. No Bank, State or Federal Guarantee. May Lose Value

\* Except the Bank Savings and Checking Investment Options

Thank You for the Opportunity to Work with the State of Alabama