

Northern Lights Distributors, LLC

College Savings
Distribution Services





FIRM PROFILE

\$725B

ASSETS UNDER
ADMINISTRATION
\$104B In Public Plans

2,200+

FUNDS
122 Public Plan Portfolios

1,250

PROFESSIONALS
100% Domestic

4.0m+

PARTICIPANT AND INVESTOR
ACCOUNTS

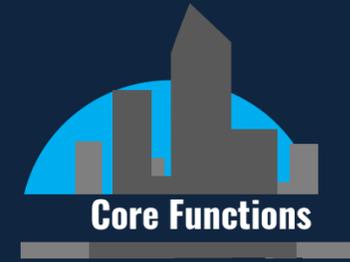
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PUBLIC PLAN CLIENTS
5 COLLEGE SAVINGS CLIENTS

CALIFORNIA | COLORADO | FLORIDA | INDIANA | LOUISIANA | MAINE | MICHIGAN | MISSISSIPPI | NEBRASKA
NORTH CAROLINA | NEW YORK | OKLAHOMA | TEXAS | VIRGINIA | VERMONT | WYOMING |
PRIVATE COLLEGE SAVINGS PLAN



CORE STATUTORY DISTRIBUTOR SERVICES



MSRB
Member &
G-45
Support

Selling
Agreements

Advertising &
Collateral
Review

Payments
and Board
Reporting

Rep
Licensing
and
Oversight

NSCC
Clearing
(Including
Offshore)

Northern Lights Distributors is a federally regulated broker-dealer providing statutory Distributor Services to Registered 529 Plans, Registered Investment Companies, as named Distributor or via ad-hoc outsourcing services.



10,000+
Marketing
Submissions



7,500+
Selling Agreements



25,000+
Invoices Processed



185+
Clients Served